



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Kristian DiMatteo

Serial No.: 10/615,652

Examiner: Unknown

Filed: July 9, 2003

Group Art Unit: 3731

For: THROMBUS FILTER WITH BREAK-AWAY ANCHOR MEMBERS

Docket No.: 1001.1309103

**TRANSMITTAL SHEET**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

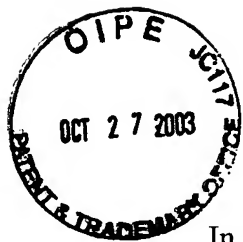
**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 27<sup>th</sup> day of October, 2003.  
By [Signature] [Signature]  
Glenn M. Seager

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement.
- ☒ Form 1449 with four (4) references. (Other references are not included pursuant to 37 CFR §1.98(d) and 37 CFR §1.98(a)(2)(i)).
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: [Signature]  
Glenn M. Seager, Reg. No. 36,926  
Customer No. 28075

CROMPTON, SEAGER & TUFTE, LLC  
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P A T E N T

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CERTIFICATE UNDER 37 C.F.R. 1.08: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 27th day of October, 2003.

By

Glenn M. Seager

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

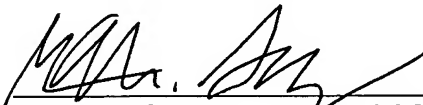
Copies of the documents listed on the 1449 Form were previously either submitted by Applicant, cited by the U.S. Patent and Trademark Office in at least one of the prior applications, U.S. Application No. 09/804,319, filed March 12, 2001, now U.S. Patent No. 6,620,183, U.S. Application No. 09/491,272, filed January 26, 2000, now U.S.

Patent No. 6,217,600 or is a U.S. patent application publication or a U.S. patent, upon which Applicant relies for the benefits provided in 35 U.S.C. §120. Therefore, under the provisions of 37 C.F.R. §1.98(d) and 37 CFR §1.98(a)(2)(i), copies of these documents are not being submitted herewith.

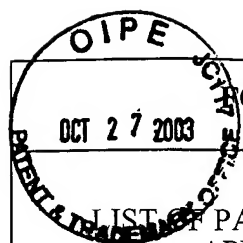
Applicant respectfully requests that the Examiner consider the documents listed on the 1449 Form and indicate that they were considered by making appropriate notations on the attached 1449 form.

Respectfully submitted,

Dated: Oct. 23, 2003



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FORM PTO-1449

Atty. Docket No.:  
1001.1309103

Serial No.:  
10/615,652

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

Applicant:

Kristian DiMatteo

Filing Date:

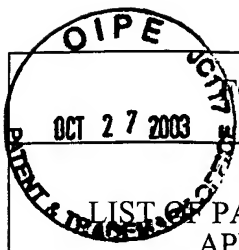
July 9, 2003

Group Art:

3731

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	3,952,747	04/27/76	Kimmell, Jr.			
	4,494,531	01/22/85	Gianturco			
	4,688,553	08/25/87	Metals			
	4,727,873	03/01/88	Mobin-Uddin			
	4,781,177	11/01/88	Lebigot			
	4,793,348	12/27/88	Palmaz			
	4,817,600	04/04/89	Herms et al.			
	5,059,205	10/22/91	El-Nounou et al.			
	5,133,733	07/28/92	Rasmussen et al.			
	5,147,379	09/15/92	Sabbaghian et al.			
	5,234,458	08/10/93	Metais			
	5,242,462	09/07/93	El-Nounou et al.			
	5,324,304	06/28/94	Rasmussen			
	5,344,427	09/06/94	Cottenceau et al.			
	5,370,657	12/06/94	Irie			
	5,383,887	01/24/95	Nadal			
	5,601,595	02/11/97	Smith			
	5,681,347	10/28/97	Cathcart et al.			
	5,709,704	01/20/98	Nott et al.			
	5,713,917	02/1998	Leonhardt			
	5,911,704	06/15/99	Humes			
	5,954,741	09/1999	Fox			
	6,007,558	12/28/1999	Ravenscroft et al.			
	6,231,581	05/15/01	Shank et al.			
	6,331,183	12/18/01	Suon			
	6,342,063	01/29/02	DeVries et al.			



FORM PTO-1449  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: 1001.1309103	Serial No.: 10/615,652
	Applicant: Kristian DiMatteo	
	Filing Date: July 9, 2003	Group Art: 3731

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	6,375,670	04/23/02	Greenhalgh			
	6,506,205	01/14/03	Goldberg et al.			
	6,569,183	05/27/03	Kim et al.			
	6,582,447	06/24/03	Patel et al.			

#### FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Class	Sub Class	Translation Yes No
0 791 340 A1	08/27/1997	EP			Yes
WO 96/12448	05/02/1996	PCT			Yes
WO 98/23322	06/04/1998	PCT			Yes
WO 03/004074 A3	01/16/2003	PCT			Yes
WO 03/004075 A2	01/16/2003	PCT			Yes
WO 03/020171 A2	03/13/2003	PCT			Yes
WO 03/073961 A1	09/12/2003	PCT			Yes

#### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.